

## FOR INDIVIDUAL INVESTORS ONLY

### FLEXMAX INVESTMENT ACCOUNT INSTRUCTION FOR TRANSFER-IN

USE THIS FORM IF you wish to make a transfer of securities to your FlexMax investment account.

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Please complete this form in blue or black ink using BLOCK CAPITALS, or, if using a SMART form, complete on your PC or laptop, then print.

Once completed, please **sign** and **send** with all additional information and documentation to **International Investment Platform, o.c.p., a.s., Aupark Tower, Einsteinova 24, 851 01 Bratislava, Slovakia.**

Failure to provide all relevant information and documentation may cause a delay in the processing of your request.

Please follow any instructions provided and where applicable indicate your selection by ticking the boxes or marking your choice with a cross.

### I/We wish to make a transfer of securities to FlexMax investment account number:

("Instruction") addressed to **International Investment Platform, o.c.p., a.s.**, a company with its registered office at Einsteinova 24, 851 01 Bratislava, Slovakia, Company ID: 35 771 801, incorporated in the Business Register of the City Court Bratislava III, Section: Sa, Insert No.: 4532/B ("**Broker**"). Unless otherwise defined herein, capitalised terms used in this Request shall have the same meanings as those defined in the Agreement or General Terms and Conditions of International Investment Platform, o.c.p., a.s., for the provision of investment services ("**GTC**") and made part hereof.

#### CLIENT 1 DETAILS

1. Title:      2. Surname:

3. First name(s):

4. Date (DD-MM-YYYY) and place of birth:

5. Passport number:

6. Personal identification number, if assigned:

7. Telephone:

8. E-mail:

9. Residential address – Street, Number:

City:

Post/ZIP code:

Country:

#### CLIENT 2 DETAILS (if this is a joint account)

10. Title:      11. Surname:

12. First name(s):

13. Date (DD-MM-YYYY) and place of birth:

14. Passport number:

15. Personal identification number, if assigned:

16. Telephone:

17. E-mail:

18. Residential address – Street, Number:

City:

Post/ZIP code:

Country:

Client 1 and, if applicable, Client 2 hereinafter referred to as the "**Client**".

Please specify below each Security to be transferred into your Investment account. On receipt of the completed Instruction, the Broker will check whether the Securities listed can be transferred to your Investment account.

No.	Name of Security	ISIN	Number of shares held/Amount
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			

A charge in the amount of GBP 100 per each transferred Security will be deducted from the Investment account.

## CONDITIONS

**Fees:** The Broker will deduct monies from the Investment account as and when appropriate in order to cover the cost of fees and other related charges as set out in this Instruction and the Fee Schedule.

**Transfer not feasible:** If the transfer of a particular Security is not feasible due to reasons beyond the Broker's control, the Broker will, without undue delay, advise the Client via the e-mail address specified in this Agreement and request supplementary Instructions. In a case where the transfer of a particular security is not possible, the Client agrees this will not affect the transfer of other Securities listed above. The Client also acknowledges that in cases where a transfer is not

### It is hereby confirmed that

**a)** the Client agrees to all legal relationships between the parties to the Agreement that are not governed by the Agreement being governed by the relevant provisions of the GTC, Securities Act, Commercial Code and other legal regulations, respectively, for the purpose of the Instructions, the GTC shall mean the Terms and Conditions of International Investment Platform, o.c.p., a.s., for the provision of investment services;

**b)** the Client has familiarised themselves with the prospectus and simplified prospectus or KIID, management regulations, offering memorandum or similar documents, the latest annual and semi-annual reports as well as any statutes published by the issuers of the Securities which the Client shall obtain under the Agreement, and the Client agrees with the stated documents and is bound to comply with them;

**c)** the Client has been properly informed of the nature of the risks related to the provision of investment services, of the criteria used to determine a client's categorisation, of where the Service is provided, of how the Broker executes the Instructions, of the Broker's strategy on forwarding of Instructions, of the Client's right to request information from the Broker, of the means and extent of the protection and guarantees provided to the Client by this system, of the Broker's obligations and responsibilities as a stock broker, of measures for avoiding the occurrence of conflicts of interests and of conflict of interests, of the amount of fees and compensation related to the Agreement and of

feasible, the Broker may reject the Instructions. The transfer process may be lengthy as the Broker is reliant on third parties for certain parts of the transfer process. The Broker is therefore unable to guarantee that a transfer will be completed by a specific deadline. The Broker will register the Securities on the Investment account immediately after receiving confirmation of the transfer from the custodian of the Securities. Any change in the Instructions shall be made by the Client by submitting a new Instruction with changed requirements, unless the Agreement or the Terms and Conditions provide for otherwise.

the conditions for processing the Client's Personal Data and Confidential Information; that the Client has received and understood all the above-mentioned information;

**d)** the Client has been informed by the Broker that in the case of a Service provided under these Instructions, the Securities to be transferred may have a different base currency than the Client's investment currency; the Client is fully aware of and accepts all risks (especially foreign exchange risks) related to this fact;

**e)** the Client has been informed by the Broker that in the event that a transfer of a particular Security is not feasible, the Broker will act in accordance with the conditions in the Instructions hereinabove;

**f)** the Client agrees to instruct its current custodian of the Securities to be transferred pursuant to these Instructions to transfer such Securities to the Broker and provide the details of the custodian to the Broker. The Broker shall provide details for settlement of such Securities to the custodian;

**g)** the Client agrees to provide any documents related to the transferred Securities, as may be requested by the Broker;

**h)** the Client is aware of all potential risks and losses related to the Services requested to be provided/Securities requested to be transferred and the Client hereby requests the execution of the Instruction as it is.

19. Date (DD-MM-YYYY):

20. Place/Country:

21. Signature of Client 1:

22. Date (DD-MM-YYYY):

23. Place/Country:

24. Signature of Client 2:

## CONSULTANT

25. Surname:

27. Company:

29. Place/Country:

26. First Name(s):

28. Date:

30. Signature of the Consultant:

Each signature must be certified by a Consultant or authorised person (e.g. embassy official, notary public, public authority).