Important Information
PLEASE READ
Registrar changes for all Liontrust Unit Trust funds
from DST Financial Services to Bank of New York Mellon
Effective Date Monday 15th of April 2019

Dear Sirs,

We want to thank you for your ongoing support for Liontrust and our funds.

We will be moving the registrar for our unit trust funds from DST Financial Services to Bank of New York Mellon ("BNYM") on Monday 15 April 2019.

This change will mean that BNYM will be the registrar for our Sustainable Future, Global Bond and Unit Trust fund ranges, which will offer benefits to you and your clients. This includes a greater choice of funds, investment teams, asset classes and ways to invest in our funds.

The change to the registrar will not result in any changes to the way in which the unit trust funds are managed.

In this letter, we explain the changes that will result from the move to BNYM and what impact these will have on you, and your clients.

Your Account Information and Personal Details

All the information we currently hold in respect of your Unit Trust account(s), including address, bank details, income and settlement mandates (Coveralls), if applicable, and Anti-Money Laundering documentation will be passed to BNYM.

There may be instances where we need to contact you for additional information, and we will minimise such requests where possible.

New Postal Contact Details <u>with effect from 15 April 2019</u>

If you want to communicate with us by post from 15 April 2019, please uses these addresses:

For UK non-courier deliveries:

Liontrust Customer Services Team
PO Box 373
Darlington
DL1 9RQ

For overseas and UK tracked / signed for mail:

Liontrust Customer Services Team
373/9RQ, Stead House
Faverdale West
Darlington, DL3 0PS

New Telephone and Fax Contact Details <u>with effect from 15th April 2019:</u>

To speak to us from 15 April 2019, please use these contact numbers:

Tel: 0344 892 0349
Fax: 0207 964 2562
Email: Liontrustadmin@bnymellon.com

Tel: +44 (0) 203 528 4110
Fax: +44 (0) 207 964 2562
Email: Liontrustadmin@bnymellon.com

New Settlement Bank <u>Account with effect from 15th April 2019:</u>

Bank: Nat West
Account Name: Liontrust Fund Partners LLP Dealing Account
Sort Code: 60-00-01*
Account Number: 48744069*

***Note this is the same settlement account we already use for our Sustainable Future/Global Bond funds and should be used for settlement of <u>ALL trades placed with a valuation point of 15th of April onwards. Please continue to use the existing Unit Trust settlement bank details for all trades placed up to midday on Friday 12th of April.</u>**

New Accounts Numbers / Account Amalgamation:
The alignment of the registrar for both fund ranges will mean we will be able to offer all funds within one General Investment Account.

If you already hold any of our Sustainable Future or Global Bond funds in a corporate or nominee account, we will try to amalgamate the Unit Trust funds into your existing account(s) where possible.

If we cannot achieve this, we will create a new account for you and advise you of the new account number (and new agency number if applicable) around 5 to 7 working days prior to the 15 April. Should you wish for any accounts to be amalgamated after the transition, this will be possible, upon receiving a written request.

There will be no change to any of our funds in terms of how they currently operate. For example, the Sustainable Future and Global Bond funds will still be single priced and the Unit Trust funds will remain as dual priced funds.   All funds have the same midday valuation point and will operate as they currently do on a Trade Date +4 settlement cycle for both investments and redemptions.

Straight Through Processing ("STP") Dealing for Corporate & Nominee Accounts only:
Our existing Sustainable Future and Global Bond provider codes are going to be amalgamated in to our existing Unit Trust provider code with effect from Monday 15 April 2019:

EMX:
All funds will move under our existing Unit Trust fund provider code of "LIO" with effect from 9am on Monday 15th April.

- Our provider code **ATAM,** currently used for our Sustainable Future/Global Bond funds will be deactivated after the 12pm valuation point on Friday 12 April 2019.

Calastone / CTN:

- All funds will move under our existing "**Liontrust Unit Trust"** firm with effect from 9am on Monday 15th April. Calastone will contact all participants with the new details in due course.

- For re-registration, counterparties will need to use the Unit Trust BIC (CLAOGB2LLIO) to represent all Liontrust funds from the 15th April.

- The full SWIFT details will be:
  o BIC8: CLAOGB2L
  o BIC11: CLAOGB2LXXX
  o Business Entity BIC: CLAOGB2LLIO
  o DN: cn=lio,ou=funds,o=claogb2l,o=swift

The existing Sustainable Future and Global Bond firm will be deactivated after the midday valuation point on the 12 of April.

Please refer to your EMX or Calastone relationship team if you have any queries about these changes.

Daily Price Delivery:
Daily price dissemination for all our UK funds will remain unchanged.

Online Agent Portal:
BNYM offer an online distributor portal called "BNYM TA Online" (formerly Distributor Work Bench/DWB). The Portal will give you direct access to your deal confirmations, tax vouchers, reconciliation reports and valuations as required.

Details of how to register for this will be made available to you ahead of the transition date and will also be available on our website www.Liontrust.co.uk.

If you are already registered for BNYM TA Online, you need take no further action. If you are not already registered, please note you NEED TO REGISTER for this online portal if you still want to receive all information electronically, otherwise the default position will be to revert to receiving paper confirmations.

If you have any further questions about this notice or require any additional information, please contact our Distributor Client Services Team on 0370 707 0073 or email us on DCS@uk.dstsystems.com

Yours faithfully

Simon Hildrey
Chief Marketing Officer